



07/31/01

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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>		Attorney Docket No. <b>M4065.0385/P385</b>	
		First Inventor <b>H. Daniel Dulman</b>	
		Title <b>Microlithographic Device, Microlithographic Assist...</b>	
(Only for new nonprovisional applications under 37 CFR 1.53(b))		Express Mail Label No.	

  

<b>APPLICATION ELEMENTS</b> <i>See MPEP chapter 600 concerning utility patent application contents.</i>	<b>ADDRESS TO:</b> Box Patent Application Commissioner for Patents Washington, DC 20231
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original, and a duplicate for fee processing)</i>	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program ( <i>Appendix</i> )
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i>
3. <input checked="" type="checkbox"/> Specification [Total Pages <b>38</b> ] <i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"><li>- Descriptive title of the invention</li><li>- Cross Reference to Related Applications</li><li>- Statement Regarding Fed sponsored R &amp; D</li><li>- Reference to sequence listing, a table, or a computer program listing appendix</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings <i>(if filed)</i></li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul>	a. <input type="checkbox"/> Computer Readable Form (CRF)
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <b>18</b> ]	b. Specification Sequence Listing on: <ul style="list-style-type: none"><li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li><li>ii. <input type="checkbox"/> paper</li></ul>
5. Oath or Declaration [Total Pages <b>3</b> ] <ul style="list-style-type: none"><li>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</li><li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i><ul style="list-style-type: none"><li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> <i>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</i></li></ul></li></ul>	c. <input type="checkbox"/> Statements verifying identity of above copies
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	

  

<b>ACCOMPANYING APPLICATIONS PARTS</b>	
9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))	
10. <input checked="" type="checkbox"/> 37 CFR 3.73(b) Statement <i>(when there is an assignee)</i>	<input checked="" type="checkbox"/> Power of Attorney
11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i>	
12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449	<input type="checkbox"/> Copies of IDS Citations
13. <input type="checkbox"/> Preliminary Amendment	
14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i>	
15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i>	
16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.	
17. <input type="checkbox"/> Other:	

  

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP)    of prior application No.: _____ Prior application information: Examiner _____ Group / Art Unit: _____ For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.	
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<b>19. CORRESPONDENCE ADDRESS</b>	
<input checked="" type="checkbox"/> Customer Number or Bar Code Label	<div style="text-align:center"> 24998</div>
or <input type="checkbox"/> Correspondence address below	
Name _____	
Address _____	
City _____	State _____ Zip Code _____
Country _____	Telephone _____ Fax _____

  

Name (Print/Type)	Mark J. Thronson	Registration No. (Attorney/Agent)	33,082
Signature		Date	July 31, 2001

J1059 U.S. PTO

09/917697

07/31/01

<b>FEE TRANSMITTAL for FY 2001</b>				<b>Complete if Known</b>	
<i>Patent fees are subject to annual revision.</i>				Application Number	Not Yet Assigned
				Filing Date	July 31, 2001
				First Named Inventor	H. Daniel Dulman
				Examiner Name	Not Yet Assigned
				Group Art Unit	Not Yet Assigned
				Attorney Docket No.	M4065.0385/P385
<b>TOTAL AMOUNT OF PAYMENT</b>		(\$)		1574.00	

  

METHOD OF PAYMENT		FEE CALCULATION (continued)								
1.	<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:	<b>3. ADDITIONAL FEES</b>								
	Deposit Account Number: <span style="border: 1px solid black; padding: 2px 20px;">04-1073</span>	Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid	
	Deposit Account Name: <span style="border: 1px solid black; padding: 2px 40px;">Dickstein Shapiro Morin &amp; Oshinsky LLP</span>									
	<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27	105	130	205	65			Surcharge - late filing fee or oath		
		127	50	227	25			Surcharge - late provisional filing fee or cover sheet.		
		139	130	139	130			Non-English specification		
		147	2,520	147	2,520			For filing a request for <i>ex parte</i> reexamination		
		112	920*	112	920*			Requesting publication of SIR prior to Examiner action		
		113	1,840*	113	1,840*			Requesting publication of SIR after Examiner action		
		115	110	215	55			Extension for reply within first month		
		116	390	216	195			Extension for reply within second month		
		117	890	217	445			Extension for reply within third month		
		118	1,390	218	695			Extension for reply within fourth month		
		128	1,890	228	945			Extension for reply within fifth month		
		119	310	219	155			Notice of Appeal		
		120	310	220	155			Filing a brief in support of an appeal		
		121	270	221	135			Request for oral hearing		
		138	1,510	138	1,510			Petition to institute a public use proceeding		
		140	110	240	55			Petition to revive - unavoidable		
		141	1,240	241	620			Petition to revive - unintentional		
		142	1,240	242	620			Utility issue fee (or reissue)		
		143	440	243	220			Design issue fee		
		144	600	244	300			Plant issue fee		
		122	130	122	130			Petitions to the Commissioner		
		123	50	123	50			Processing fee under 37 CFR 1.17(q)		
		126	180	126	180			Submission of Information Disclosure Stmt		
		581	40	581	40			Recording each patent assignment per property (times number of properties)	40.00	
		146	710	246	355			Filing a submission after final rejection (37 CFR 1.129(a))		
		149	710	249	355			For each additional invention to be examined (37CFR 1.129(b))		
		179	710	279	355			Request for Continued Examination (RCE)		
		169	900	169	900			Request for expedited examination of a design application		
		Other fee (specify) _____								
		*Reduced by Basic Filing Fee Paid							SUBTOTAL (3) (\$)	40.00

  

FEE CALCULATION							
<b>1. BASIC FILING FEE</b>							
Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)		
	101	710	201	355	Utility filing fee		
					710.00		
	106	320	206	160	Design filing fee		
	107	490	207	245	Plant filing fee		
	108	710	208	355	Reissue filing fee		
	114	150	214	75	Provisional filing fee		
SUBTOTAL (1)					(\$)	710.00	
<b>2. EXTRA CLAIM FEES</b>							
Total Claims	48	-20** =	28	x	18.00	=	504.00
Independent Claims	7	-3** =	4	x	80.00	=	320.00
Multiple Dependent					270.00	=	0
<b>Large Entity    Small Entity</b>							
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description			
	103	18	203	9	Claims in excess of 20		
	102	80	202	40	Independent claims in excess of 3		
	104	270	204	135	Multiple dependent claim, if not paid		
	109	80	209	40	** Reissue independent claims over original patent		
	110	18	210	9	** Reissue claims in excess of 20 and over original patent		
SUBTOTAL (2)					(\$)	824.00	
**or number previously paid, if greater; For Reissues, see above							

  

SUBMITTED BY		Complete (if applicable)	
Name (print/type) Mark J. Thronson	Registration No. (Attorney/Agent) 33,082	Telephone (202) 775-4742	
Signature		Date July 31, 2001	